

Mason Stevens Self Invested Personal Pension Primary Beneficiary Acknowledgment Form

Mason Stevens Limited (Mason Stevens) is pleased to provide you with MDA Services and account services for your UK Self Invested Personal Pension (SIPP). As your SIPP has some special features, this acknowledgment form is to help harmonise the effect of the laws and arrangements applying to your SIPP's account that will be held with Mason Stevens.

You may be aware that you are treated as a "retail client" as defined by the UK Financial Conduct Authority (FCA) for the services offered to you from entities regulated by the FCA (including the Trustee for your SIPP). However, under Australian regulation governing financial services, you will not be classified as a "retail client". Mason Stevens will recognise you, as the member of your SIPP, and the primary beneficiary of our services. For your benefit we wish to try to help harmonise how we provide services with your SIPP, including the SIPP Trustee.

Your local adviser, HNW Planning Pty Ltd (**Adviser**), regulated under Australian financial services laws, provides a number of services to you. This includes acting as your agent for your SIPP Trustee and Administrator, acting as your adviser under Australian financial services laws, and acting as your representative, authorised by you, for giving instructions to Mason Stevens in respect of your SIPP, including how your money is invested.

Mason Stevens are pleased to proceed upon your acceptance and acknowledgments of the below. I, the person identified in the Application Form acknowledge and agree, for the benefit of Mason Stevens Limited, each of the following:

1. For the purposes of my SIPP, the registered legal name of the client of Mason Stevens will be London & Colonial (Trustee Services) UK Limited, in its capacity as trustee of the International SIPP known as The STM International Pension Plan (**Trustee**).
2. Persons authorised by Trustee may, on behalf of Trustee, make the agreement with Mason Stevens for my SIPP.
3. Adviser is authorised by Trustee and Administrator and by me to operate on my SIPP in all respects in relation to the account opened by Mason Stevens for my SIPP and the MDA Services to be provided by Mason Stevens. Mason Stevens may rely on that authorisation, without any further enquiries, unless notified in writing by me, the Trustee, the Administrator or Adviser.
4. Even though Mason Stevens' client for the MDA Services and the corresponding account is the Trustee, I have been advised, as a retail client, by Adviser in respect of the MDA Services and

the MDA Contract. I am satisfied with the Statement of Advice in respect of the Investment Program incorporated by reference into the Statement of Advice.

5. I understand and accept that Mason Stevens will provide MDA Services on the MDA Service Terms to the Trustee for my SIPP, even though I am not the retail client of Mason Stevens for the purposes of the MDA Services.
6. Mason Stevens does not advise on or enquire into the legal, tax or financial aspects of my SIPP, including the terms of The STM International Pension Plan and the compliance by Trustee, Administrator or Adviser with their respective duties. I have been given a reasonable opportunity to seek independent professional advice on the account with Mason Stevens for my SIPP and the MDA Services for it.
7. Mason Stevens may withhold such amounts from payments otherwise due to the Trustee for my SIPP as Mason Stevens determines is necessary or reasonable under Australian law, having regard to the facts known to Mason Stevens from time to time.
8. Personal or confidential information about me may be received by, and disclosed to the Trustee, the Administrator and the Adviser as though I am the retail client of Mason Stevens for MDA Services. Mason Stevens will apply its privacy policy to my personal and confidential information.
9. Trustee may have more than one account with Mason Stevens, each for a different SIPP client. Mason Stevens will treat each account for Trustee as a separate account, segregated from each other account. The effectiveness of the segregation depends on the laws and regulations applying to the terms of the SIPP and that is outside the control of Mason Stevens. Mason Stevens has no liability to me to the extent my assets are not segregated from the assets held in the name of or for Trustee for any other member of The STM International Pension Plan.

Signature

Name

Date